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EXPRESS MAIL LABEL NO:  
EL579667137US

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Attorney Docket No.: P-4352

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Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW PATENT APPLICATION TRANSMITTAL LETTER  
(37 C.F.R. §1.53(b))

Transmitted herewith for filing is an original (nonprovisional)  
patent application of:

**Inventor(s):** Matthias Breuer

**Entitled:** A Method For Handling of Different Versions of a  
Document in a Computer System

Enclosed are the following papers as required for a filing date  
under 37 C.F.R. §1.53(b):

\_\_\_\_ Sheets Microfiche Appendix;  
\_\_\_\_ 13 Pages Description;  
\_\_\_\_ 4 Pages Claims;  
\_\_\_\_ 1 Page Abstract; and  
\_\_\_\_ 4 Drawing Sheets (Informal).

Other papers enclosed include:

\_\_\_\_ X Return Receipt Postcard;  
\_\_\_\_ X Check for \$ 830.00 ;  
\_\_\_\_ 2 Pages of Combined Declaration For Patent  
Application and Power of Attorney;

New Patent Application Transmittal Letter  
Attorney Docket No. P-4352 Page 2

\_\_\_\_\_ Pages of Statement Claiming Small Entity  
\_\_\_\_\_ Status (37 CFR 1.9(f) and 1.27(b) -- Small  
Business Concern);  
  X   Recordation Form Cover Sheet; and  
  1   Page of Assignment of the invention to Sun  
Microsystems, Inc.

Fee Calculation					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee
					\$710.00
Total No. of Claims	11	- 20 =	0	X \$18.00	\$ 0.00
No. Independent Claims	4	- 3 =	1	X \$80.00	\$ 80.00
Multiple Dependent Claims				\$270.00	0.00
Total of above Calculation					\$790.00
Reduction by 50% for filing by Small Entity (Enter 0.5 for small entity)					1.0
Filing Fee					\$790.00

**FEE PAYMENT**

Enclosed are:

Filing Fee	\$790.00
Assignment Recording Fee	40.00
<b>Total Fees Enclosed</b>	<b>\$830.00</b>

A check in the amount of \$830.00 is enclosed

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0553.

Respectfully submitted,



Forrest Gunnison  
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EXPRESS MAIL LABEL NO:  
EL579667137US

5 A METHOD FOR HANDLING OF DIFFERENT VERSIONS OF A  
DOCUMENT IN A COMPUTER SYSTEM.

Matthias Breuer

10 BACKGROUND OF THE INVENTION

Field of the Invention

15 The present invention relates generally to storing  
different versions of information and in particular to  
a method of handling different versions of a document  
in a computer system.

Description of Related Art

20 In a computer system, different versions of a  
document, one current version and one or more earlier  
versions, may have to be handled, for example for  
purposes of documenting the historical development of  
the document. Documents are understood to be data  
files suitable for being stored and processed on  
25 computer systems in general, including text documents,  
spreadsheets, tables, pictures or any other set of data  
representing information.

30 Computer programs for handling, i.e. storing and  
retrieving, that means opening or displaying, different  
versions of a document already exist in the prior art  
as illustrated in Figure 1. Version seven 107 was the  
newest or current version of the document and version  
one was the earliest version.

35 The area of a storage medium in which the relevant  
information concerning the document was stored was a

storage unit that could be divided into storage sub-units. The entire current version 107 of the document was stored in a storage sub-unit 100 assigned to the document.

5       The earlier versions of the document were not stored in their entirety in storage sub-unit 100. Instead, each earlier version of the document was compared with the next newer version of the document and only the differences between the two versions were  
10 stored together with information about the respective position of each of the differences. Stated another way, only the differences between each version and its immediate predecessor version were stored along with the information necessary to restore the earlier  
15 versions using only the differences.

          Therefore, storage sub-unit 100 also included the differences between the first and the second version 101, between the second and the third version 102, between the third and the fourth  
20 version 103, between the fifth and fourth version 104, between the sixth and the fifth version 105 and between the seventh and the sixth version 106. If the first version of the document had to be displayed, the current seventh version 107 was opened and any  
25 differences 106 between the seventh and the sixth version, any differences 105 between the sixth and the fifth version, any differences 104 between the fifth and the fourth version, any differences 103 between the fourth and the third version, any differences 102  
30 between the third and the second version, and any differences 101 between the second and the first version were inserted in the current seventh version to generate the first version. With this storage method, the first version was restored or reconstructed  
35 successively from the current seventh version and the

differences of all of the other earlier versions relative to each other.

This solution was laborious and time consuming, since several steps had to be carried out.

5 Furthermore, if the current version or any differences between versions were not properly retrievable, the desired first version could not be restored. In the case where a large number of versions of a document were stored, this dependency was a serious risk factor.

10 In a document, which comprises, for example, tables the differences between the various earlier versions of this document may, for example, reside only in the fourth row in the fifth table of the document. In this example, the content of the earlier versions'  
15 fourth row of the fifth table was stored, together with the information that this piece of data belonged to the fourth row of the fifth table, not to any other row or column of any other table, and with the information that it belonged to a certain earlier version, not any  
20 other earlier version. The rest of the earlier versions were not physically stored.

This process of storing and retrieving earlier versions of a document was time consuming, since several processing steps had to be performed to restore  
25 the desired earlier version. In addition, if problems occurred in storing or retrieving the current version of the document, the same problems applied to the retrieval of any earlier version, since the retrieval of any earlier version depended on the current  
30 version's successful retrieval.

In another prior art method, as disclosed in U.S. Patent No. 5,819,295, versions of a document were each stored as separate files and various files were collected in folders. The method of the '295 Patent  
35 required an elaborate management system to determine in

which folder each version of a document was stored. While this eliminated the problems noted above, the overhead with such a complex management scheme makes it impractical for use with a word processing or  
5 spreadsheet application, for example.

#### SUMMARY OF THE INVENTION

A method for improved storage and retrieval of earlier versions of a document provides for faster and  
10 more secure retrieval of earlier document versions. In one embodiment, the method for handling different versions of a document, where the different versions include one current version and at least one earlier version, stores the at least one earlier version of the  
15 document in its entirety in a file on a storage medium of the computer system. The method of this invention, also stores the current version of the document in its entirety in the same file.

In one embodiment, the current version comprises  
20 historic information concerning the current version and each of the different versions comprises historic information concerning that version. Storing the current version includes storing the historic information of the current version with the current  
25 version. Similarly, storing the at least one earlier version includes storing the historic information of the at least one earlier version with the at least one earlier version. The historic information about a document version may contain at least its saving date.  
30 It is possible that this historic information contains also other information, which allows the user to identify or characterize the respective version, like names of individuals who have worked on this version.

The method for handling different versions of a  
35 document also may include displaying, on demand of a

user of the computer system, a listing showing at least the historic information about the different document versions, and loading any of the different versions for further processing in response to a user's choice from the listing. This allows the user to select quickly the version that the user would like to have output to any output or storage device, like a screen, a printer or another storage medium coupled to the user's computer system.

In another embodiment of the inventive method, the earlier versions of a document are saved in a compressed form. This reduces significantly the required storage space. Suitable compression programs reduce the required storage space by a factor of between two and four. Herein, a solution may be implemented which allows, possibly in response to a user's choice, that only predetermined parts of the documents, for example pictures, are compressed.

The method according to the present invention further may comprise identifying and displaying amendments in the different versions of a document on demand of a user together with the date of the amendments. This identifying and displaying amendments may also comprise the identification and display of the author of the amendments. In addition, the method may allow the display of any further information associated with the amendments like comments regarding the amendments or the date of first saving.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a diagram representing the storing of different document versions according to a prior art solution.

Figure 2 is a high level diagram representing an example for a computer system being usable in the scope of the present invention.

Figure 3 is a diagram representing the storing of  
5 different versions of a document that includes different types of content in a file on a storage medium of a computer system according to the present invention.

Figure 4 is a diagram representing the compression  
10 of different document versions according to the present invention according to Figure 3.

In the Figures and the following detailed description, elements with the same reference numeral are the same element.

15

#### DETAILED DESCRIPTION

Method 230 of this invention stores multiple versions 220, 221 of a document within a single file 225 in a memory 211 of a computer system 200,  
20 i.e., in a computer storage medium. Unlike the prior art methods, each of version 220, 221 is stored in file 225 in its entirety. Consequently, when a user issues an instruction to retrieve a particular earlier version 220 of the document, method 230 simply goes to  
25 the same file, e.g., file 225, which includes current version 221 and retrieves earlier version 220.

The retrieval of version 220 is independent of the status of version of 221. In particular, if current version 221 can no longer be retrieved, e.g., current  
30 version 221 inadvertently was erased or became corrupted during a computer system crash, version 220 can be successfully opened and used in contrast to the method of Figure 1, which required the current version to generate all earlier versions.



As used herein, documents include, but are not limited to, text documents, spreadsheets, tables, pictures or any other set of data representing information. A computer system, which is able to carry out inventive method 230, comprises, in one embodiment, a processor, a storage medium, an input device and an output device. The storage medium can be a hard disc, a floppy disc, a tape, flash memory, static random access memory, random access memory, a CD-ROM or anything comparable within the computer system, or accessible by the computer system over a network. This storage medium can belong to the computer system itself as illustrated in Figure 2, but the storage medium also can belong to another computer system, e.g., memory 284, and can be connected via a data line or a network to computer system 200.

In the embodiment of Figure 2, the memory of computer system 200 is divided into a volatile memory 210, like a working memory, and a non-volatile memory 211, like a hard disc. In addition, computer system 200 may include a keyboard 215, a monitor 216, a printer 217, a mouse 218, a scanner 219 and CD-ROM 220 that all are connected to I/O interface 202. Frequently, computer system 200 also is connected to a network 203 via I/O interface 202. Optionally, network 203 can be connected to, or part of a larger network 204, for example, the Internet or a wide area network.

Figure 3 is a more detailed diagram of a file 325 in storage medium 211 of computer system 200 that stores first version 311, second version 312, third version 313 and fourth version 314 of a document. This document includes text, tables and pictures.

Each version 311 to 314 is stored in its entirety in file 325 and with each version is stored historic

information and format information concerning that particular version of the document. The different information that makes up each version is stored within file 325 in a certain sequence and in a degree of separation, which allows quick distinction between the various types of content.

In this example, the text and the format of the text are one type of content and are stored together at position or address area A within each of versions 311 to 314. The pictures belong to another type of content and are stored in address area B within each of versions 311 to 314. The tables of the document belong to yet another type of content and are stored in address area C within each of versions 311 to 314. Finally, the historic information regarding the document version is stored in address area D within each of versions 311 to 314. The historic information may include, for example, data about the user who created this document version, its saving date, its printing date or any other relevant information about the particular document version.

Each time a user of computer system 200 issues a save document instruction to an application 232 that is executing on CPU 201, application 232 saves the document. The first time that the user issues a save document instruction, the document is saved as an initial version in a new file on the storage medium. Subsequently, if the user opens, edits - amends or changes -, and then saves a version of the document, another entire version of this document is created and automatically saved in the file.

In another embodiment of the present invention, each earlier version of the document is compressed, using any one of the commonly available data compression programs, and then stored. In this

embodiment, as soon as a newer version is created, the previous version is compressed and stored in the file. This reduces the required storage space substantially. Common compression applications typically compress the data up to a quarter of its original size.

File 325 of Figure 3 is illustrated with compression as file 325A in Figure 4. Version one 311, version two 312, and version three 313 of Figure 3 have been compressed and stored as compressed version one 311A, compressed version two 312A, and compressed version three 313A, i.e., the earlier versions are stored in a compressed form.

If the user wants to retrieve compressed version one 311A of the document, there is only one operation to carry out. The content of compressed version one 311A has to be decompressed. This provides not only fast, but also secure access to different document versions. With the compression and decompression of the various document versions, storage space consumption is limited.

In another embodiment of the present invention, when a user who opens a document, the user can access, for example, a listing of which users worked on this document. This listing may be implemented in the form of a drop-down list, that means a list which is created on demand of the user, for example in response to a mouse-click, by displaying the listing below the generic information about the document. In yet another embodiment, the content of the listing may be chosen by the user from among the historic information stored with each version of the document by selecting an appropriate entry in a menu.

Similarly, a user can open a document and then select a listing of the versions of the document stored in the file. The selection of the version listing

results in display of a drop-down list of the versions.  
The user can retrieve a particular version of the  
document simply by selecting the desired version in the  
list using an input device, e.g., a mouse. The  
5 retrieved version is loaded in the computer system for  
further use by the user.

If an old version is loaded by the user, the old  
version is opened in a read-only state. In one  
embodiment, if the user wants to edit the old version,  
10 the user must use a save-as instruction. In another  
embodiment, edits on a particular old version can be  
saved as sub-versions of that old version, e.g.,  
version 2.1 of version 2. The important aspect is the  
independence of the various versions within a common  
15 file, which means that any stored version or sub-  
version of the document can be retrieved independent of  
the status or state of any other version of the  
document.

In comparison to the storage method of Figure 1,  
20 the user quickly recognizes when opening any earlier  
versions of the document that retrieval of the document  
is carried out much faster. Another advantage of this  
method is that retrieving any one version of a document  
does not depend on the storage integrity of any other  
25 version of the document. For example if problems  
occurred during storing of any earlier version, the  
invention allows the user to retrieve the newer  
versions completely in full quality - provided that the  
newer versions themselves were stored properly -,  
30 whereas the prior art solution of Figure 1 would not be  
able to restore any other newer versions.

Therefore, the present invention provides an  
advantageous solution to the problem of handling  
documents existing in different versions. The

advantages of the invention are in particular faster and more secure document version retrieval.

Herein, a computer program product comprises a medium configured to store or transport computer  
5 readable code for method 230 or in which computer readable code for method 230 is stored. Some examples of computer program products are CD-ROM discs, ROM cards, floppy discs, magnetic tapes, computer hard drives, servers on a network and signals transmitted  
10 over a network representing computer readable program code.

As illustrated in Figure 2, this storage medium may belong to computer system 200 itself. However, the storage medium also may be removed from computer  
15 system 200. For example, method 230 may be stored in memory 284 that is physically located in a location different from processor 201. The only requirement is that processor 201 is coupled to the memory. This could be accomplished in a client-server system, e.g.  
20 system 200 is the client and system 280 is the server, or alternatively via a connection to another computer via modems and analog lines, or digital interfaces and a digital carrier line.

Alternatively, memory 284 could be in a World Wide  
25 Web portal, while display unit 216 and an input device are in a client computer. In this case, the memory and processor used in executing method 230 are part of a server computer on a wide area network, a local area network, or the Internet, and the client computer is  
30 used only for input and output.

More specifically, computer system 200, in one embodiment, can be a portable computer, a workstation, a two-way pager, a cellular telephone, a digital wireless telephone, a personal digital assistant, a  
35 server computer, an Internet appliance, or any other

device that includes the components shown and that can execute method 230. Similarly, in another embodiment, computer system 200 can be comprised of multiple different computers, wireless devices, cellular  
5 telephones, digital telephones, two-way pagers, or personal digital assistants, server computers, or any desired combination of these devices that are interconnected to perform, method 230 as described herein.

10 Accordingly, a computer memory refers to a volatile memory, a non-volatile memory, or a combination of the two in any one of these devices. Similarly, a computer input unit and a display unit refers to the features providing the required  
15 functionality to input the information described herein, and to display the information described herein, respectively, in any one of the aforementioned or equivalent devices.

In view of this disclosure, method 230 can be  
20 implemented in a wide variety of computer system configurations. In addition, method 230 could be stored as different modules in memories of different devices. For example, method 230 could initially be stored in a server computer 280, and then as necessary,  
25 a module of method 230 could be transferred to a client device 200 and executed on client device 200. Consequently, part of method 230 would be executed on the server processor 282, and another part of method 230 would be executed on processor 201 of client  
30 device 200. In view of this disclosure, those of skill in the art can implement the invention of a wide-variety of physical hardware configurations using an operating system and computer programming language of interest to the user.

In yet another embodiment, method 230 is stored in memory 284 of system 280 for downloading to memory 211 of system 200. Stored method 230 is transferred, over network 204 to memory 211 in system 200. In this  
5 embodiment, network interface 283 and I/O interface 202 would include analog modems, digital modems, or a network interface card. If modems are used, network 204 includes a communications network, and method 230 is downloaded via the communications  
10 network.

Method 230 of the present invention may be implemented in a computer program including comprehensive office application STAROFFICE that is available from Sun Microsystems, Inc. of Palo Alto, CA.  
15 (STAROFFICE is a trademark of Sun Microsystems.) Such a computer program may be stored on any common data carrier like, for example, a floppy disc or a compact disc (CD), as well as on any common computer system's storage facilities like hard discs. Therefore, the  
20 present invention also relates to a data carrier for storing a computer program for carrying out the inventive method. The present invention also relates to a method for using a computer system for carrying out the presented inventive method. The present  
25 invention further relates to a computer system with a storage medium on which a computer program for carrying out the presented inventive method is stored.

CLAIMS

I claim:

5           1. A method for handling different versions of a document in a computer system comprising a storage medium, wherein said different versions include one current version and at least one earlier version, said method comprising:

10                 storing said at least one earlier version of said document in its entirety in a file on said storage medium; and

                  storing said current version of said document in its entirety in said file.

15

                  2. A method according to claim 1, wherein said current version comprises historic information and each of said different versions comprise historic information;

20                 said storing said current version includes storing said historic information of said current version with said current version; and

                  said storing said at least one earlier version includes storing said historic information of said at least one earlier version with said at least one earlier version.

30                 3. A method according to Claim 2, comprising displaying on demand of a user of said computer system a listing showing at least a portion of said historic information about said different versions of said document, and loading one of said different versions of said document for further processing in response to said user's choice from said listing of said one of  
35                 said different versions.



4. A method according to Claim 1 wherein said method is stored in a first memory of a first computer system for downloading to a second memory of a second computer system.

5

5. A method for handling different versions of a document in a computer system comprising a storage medium, wherein said different versions include one current version and at least one earlier version, said method comprising:

10

storing said at least one earlier version of said in its entirety in a compressed form in a file on said storage medium;

15

storing said current version of said document in its entirety in said file.

6. A computer storage medium comprising:

20

at least one earlier version of a document stored in its entirety in a file on said computer storage medium; and

a current version of said document stored in its entirety in said file.

7. A computer storage medium as in Claim 6 wherein said current version comprises historic information for said current version and said at least one earlier version comprises historic information for said at least one earlier version, and said computer storage medium further comprises:

30

said historic information of said current version stored with said current version; and

said historic information of said at least one earlier version stored with said at least one earlier version.

35

8. A computer storage medium as in Claim 6 wherein said at least one earlier version of a document is stored in its entirety in said file in a compressed form.

5

9. A computer program product comprising a method for handling different versions of a document in a computer system comprising a storage medium, wherein said different versions include one current version and at least one earlier version stored thereon, said method comprising:

10

storing said at least one earlier version of said document in its entirety in a file on said storage medium; and

15

storing said current version of said document in its entirety in said file.

20

10. The computer program product of Claim 9 wherein said current version comprises historic information and each of said different versions comprise historic information;

said storing said current version includes storing said historic information of said current version with said current version; and

25

said storing said at least one earlier versions includes storing said historic information of said at least one earlier version with said at least one earlier version.

30

11. The computer program product of Claim 10 wherein said method further comprises displaying on demand of a user of said computer system a listing showing at least a portion of said historic information about said different versions of said document, and

35

loading one of said different versions of said document

5



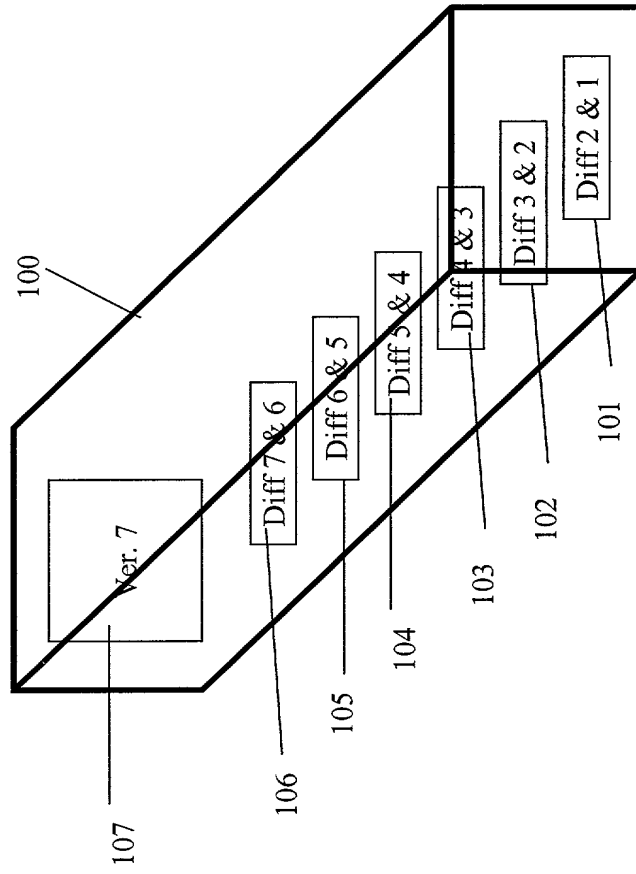


Fig. 1  
(Prior Art)

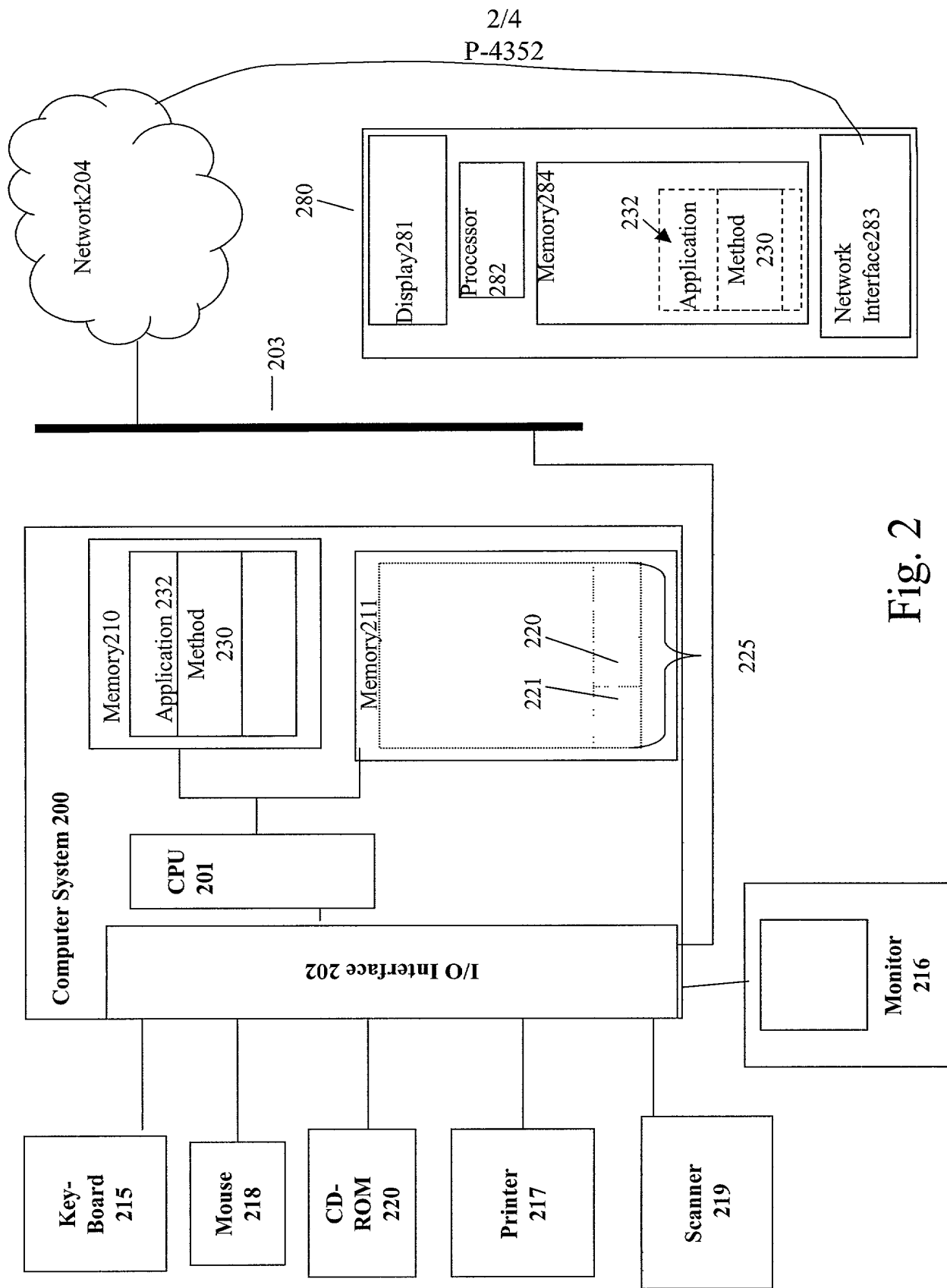
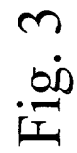


Fig. 2



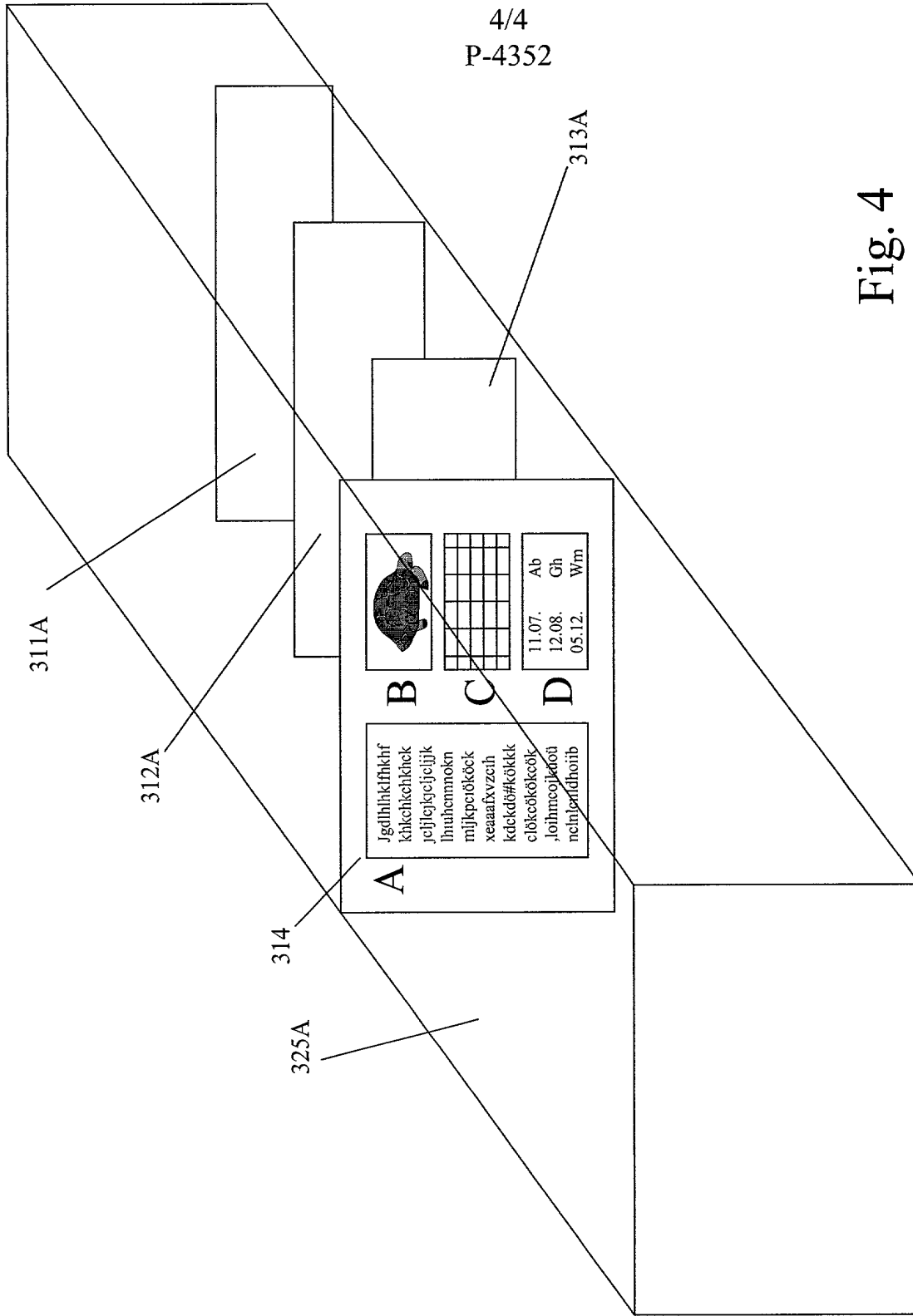


Fig. 4



Atty. Docket No. P-4352

COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as  
stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled "A Method For Handling of Different Versions of a Document in a Computer System" which

- ☒ [X] is attached hereto.  
☐ [ ] and is amended by the Preliminary Amendment attached hereto.  
☐ [ ] was filed on \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_  
☐ [ ] and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the specification, including the claims of the application entitled "A Method For Handling of Different Versions of a Document in a Computer System", as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me which is material to patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed
99120087.4 (Number)	European Patent Office (Country)	20 October 1999 (Day/Month/Year Filed)	<input checked="" type="checkbox"/> [X] Yes <input type="checkbox"/> [ ] No
NA (Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> [ ] Yes <input type="checkbox"/> [ ] No
NA (Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> [ ] Yes <input type="checkbox"/> [ ] No

000001 22225500

Atty. Docket No. P-4352

COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY (continued)

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

NA		
(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)

I hereby appoint the attorney(s) and/or agent(s) identified by

Customer Number 24209

to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Matthias BreuerInventor's signature *Matthias Breuer*Date 10/18/00Residence Seevetal, GermanyCitizenship GermanPost Office Address Vor der Autobahn 1221220 Seevetal, Germany